

**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

ALBERT CHOW, Individually and On Behalf of All
Others Similarly Situated,

Plaintiff,

v.

ENOCHIAN BIOSCIENCES INC., et al.,

Defendants.

Case No. 8:22-cv-01374-JWH-JDE

PROOF OF CLAIM AND RELEASE FORM

I. GENERAL INSTRUCTIONS

1. To recover as a member of the Settlement Class based on your claims in the class action entitled *Chow v. Enochian Biosciences, Inc., et al.*, Case No. 8:22-cv-01374 (C.D. Cal.) (the “Litigation”), you must complete and, on page 5 below, sign this Proof of Claim and Release form (“Proof of Claim”).¹ If you fail to submit a timely and properly addressed (as explained in paragraph 2 below) Proof of Claim, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement. Submission of this Proof of Claim, however, does not assure that you will share in the proceeds of the Settlement of the Action.

2. **THIS PROOF OF CLAIM, ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, MUST BE SUBMITTED ONLINE AT: WWW.ENOCHIANSECURITIESLITIGATION.COM NO LATER THAN DECEMBER 7, 2025 OR, IF MAILED, BE POSTMARKED NO LATER THAN DECEMBER 7, 2025, ADDRESSED AS FOLLOWS:**

Enochian Securities Litigation
Claims Administrator
c/o Epiq Class Action & Claims Solutions, Inc.
P.O. Box 2919
Portland, OR 97208-2919

Do not mail or deliver your Proof of Claim to the Court, the settling parties, or their counsel. Submit your Proof of Claim only to the Claims Administrator through the Settlement website (www.EnochianSecuritiesLitigation.com) or, if by mail, at the address set forth above. If you are NOT a Member of the Settlement Class, as defined in the Long-Form Notice of Pendency and Proposed Settlement of Class Action (“Long-Form Notice”), or if you have submitted a request for exclusion, DO NOT submit a Proof of Claim.

3. If you are a member of the Settlement Class and you do not timely request exclusion by October 23, 2025, you are bound by and subject to the terms of any judgment entered in the Action, including the releases provided therein, **WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM OR RECEIVE A PAYMENT.**

4. It is important that you completely read and understand the Long-Form Notice that accompanies this Proof of Claim, including the Plan of Allocation of the Net Settlement Fund set forth in the Long-Form Notice. The Long-Form Notice describes the proposed Settlement, how Settlement Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved by the Court. The Long-Form Notice also contains the definitions of many of the capitalized terms used in this Proof of Claim. By signing and submitting this Proof of Claim, you will be certifying that you have read the Long-Form Notice, including the terms of the releases described in it and provided for by the Settlement.

¹ The terms of the Settlement are in the Stipulation of Settlement, dated November 8, 2024 (the “Stipulation”), which can be viewed at www.EnochianSecuritiesLitigation.com. All capitalized terms not defined in this Proof of Claim have the same meanings as in the Stipulation.

II. CLAIMANT IDENTIFICATION

1. If you purchased or otherwise acquired Enochian common stock between January 17, 2018, and June 27, 2022, both dates inclusive (the “Class Period”), and held the stock in your name, you are the beneficial owner as well as the record holder. If, however, you purchased or otherwise acquired Enochian common stock during the Class Period through a third party, such as a brokerage firm, you are the beneficial owner and the third party is the record owner.

2. Use **Part I** of this form entitled “Claimant Information” to identify each beneficial owner of Enochian common stock that forms the basis of this claim, as well as the owner of record if different. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNERS OR THE LEGAL REPRESENTATIVE OF SUCH OWNERS.**

3. All joint owners must sign this claim. Executors, administrators, guardians, conservators, legal representatives, and trustees must complete and sign this claim on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

4. A claim should be submitted for each separate legal entity (e.g., a Proof of Claim of joint owners should not include separate transactions of just one of the joint owners, and an individual should not combine his or her IRA transactions with transactions made solely in the individual’s name). Conversely, a single Proof of Claim should be submitted on behalf of one legal entity including all transactions made by that entity on one Proof of Claim, no matter how many separate accounts that entity has (e.g., a corporation with multiple brokerage accounts should include all transactions made in all accounts on one Proof of Claim).

III. IDENTIFICATION OF TRANSACTIONS

1. Use **Part II** of this form entitled “Schedule of Transactions in Enochian Common Stock” to supply all required details of your transaction(s) in Enochian common stock. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

2. On the schedules, provide all of the requested information with respect to your holdings, purchases, and sales of Enochian common stock. Failure to report all such transactions may result in the rejection of your claim.

3. List each transaction separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

4. The date of covering a “short sale” is deemed to be the date of purchase or acquisition of the Enochian common stock. The date of a “short sale” is deemed to be the date of sale of the Enochian common stock. A purchase, acquisition, or sale of Enochian common stock will be deemed to have occurred on the “contract” or “trade” date as opposed to the “settlement” or “payment” date; please provide any “contract” or “trade” dates in your claim.

5. For each transaction, you must provide, together with this Proof of Claim, copies of broker confirmations, stockbroker statements, or other documentation adequately evidencing your transactions in Enochian common stock. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. **THE PARTIES DO NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS IN ENOCHIAN COMMON STOCK.**

6. The above requests are designed to provide the minimum amount of information necessary to process the most simple claims. The Claims Administrator may request additional information as required, and the failure to provide such information may delay processing of your claim or result in its rejection.

7. **NOTICE REGARDING ELECTRONIC FILES:** Certain Claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. (This is different than the online claim portal on the Settlement website.) All such Claimants **MUST** submit a signed Proof of Claim whether or not they also submit electronic copies. If you wish to submit your claim electronically, you must visit www.EnochianSecuritiesLitigation.com or contact the Claims Administrator at 888-658-8895 to obtain the required file layout. Any file not in accordance with the required electronic filing format will be subject to rejection. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

[illegible][illegible][illegible][illegible][illegible][illegible][illegible]

| | | | |
|--|--|--|--|
| | | | |
|--|--|--|--|

$$\begin{array}{|c|c|c|} \hline & x & \\ \hline \end{array} - \begin{array}{|c|c|c|} \hline & x & y \\ \hline \end{array} - \begin{array}{|c|c|c|c|} \hline & & & \\ \hline \end{array}$$
[illegible][illegible]

☐ Individual (includes joint owner accounts) ☐ Pension Plan ☐ Trust
☐ Corporation ☐ Estate
☐ IRA/401K ☐ Other _____ (please specify)

PART II – SCHEDULE OF TRANSACTIONS IN ENOCHIAN COMMON STOCK

1. BEGINNING HOLDINGS - State the total number of shares of Enochian common stock held at the open of trading on January 17, 2018. If none, write "0" or "Zero." (Must submit documentation.)

| | | | | | | | |
|--|--|--|--|--|--|--|--|
| | | | | | | | |
|--|--|--|--|--|--|--|--|

2. PURCHASES – Separately list each and every purchase or acquisition of Enochian common stock from January 17, 2018 through and including September 23, 2022. (Must submit documentation.)

| Date of Purchase (List Chronologically) (MM/DD/YY) | Number of Shares Purchased | Purchase Price Per Share | Total Purchase Price (excluding taxes, commissions, and fees) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|-------------------------------|--------------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> | | | | | | | <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> | | | | | | | \$ <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> . <table><tr><td></td><td></td></tr></table> | | | | | | | | | \$ <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> . <table><tr><td></td><td></td></tr></table> | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> | | | | | | | <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> | | | | | | | \$ <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> . <table><tr><td></td><td></td></tr></table> | | | | | | | | | \$ <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> . <table><tr><td></td><td></td></tr></table> | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> | | | | | | | <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> | | | | | | | \$ <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> . <table><tr><td></td><td></td></tr></table> | | | | | | | | | \$ <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> . <table><tr><td></td><td></td></tr></table> | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> | | | | | | | <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> | | | | | | | \$ <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> . <table><tr><td></td><td></td></tr></table> | | | | | | | | | \$ <table><tr><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> . <table><tr><td></td><td></td></tr></table> | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

3. SALES – Separately list each and every sale of Enochian common stock from January 17, 2018 through and including September 23, 2022. (Must submit documentation.)

| Date of Sale (List Chronologically) (MM/DD/YY) | Number of Shares Sold | Sale Price Per Share | Total Sale Price (excluding taxes, commissions, and fees) |
|---|---|--|--|
| <div><div></div><div></div><div></div><div></div><div></div><div></div></div> | <div><div></div><div></div><div></div><div></div><div></div><div></div></div> | \$ <div><div></div><div></div><div></div><div></div><div></div><div></div></div> . <div><div></div><div></div></div> | \$ <div><div></div><div></div><div></div><div></div><div></div><div></div></div> . <div><div></div><div></div></div> |
| <div><div></div><div></div><div></div><div></div><div></div><div></div></div> | <div><div></div><div></div><div></div><div></div><div></div><div></div></div> | \$ <div><div></div><div></div><div></div><div></div><div></div><div></div></div> . <div><div></div><div></div></div> | \$ <div><div></div><div></div><div></div><div></div><div></div><div></div></div> . <div><div></div><div></div></div> |
| <div><div></div><div></div><div></div><div></div><div></div><div></div></div> | <div><div></div><div></div><div></div><div></div><div></div><div></div></div> | \$ <div><div></div><div></div><div></div><div></div><div></div><div></div></div> . <div><div></div><div></div></div> | \$ <div><div></div><div></div><div></div><div></div><div></div><div></div></div> . <div><div></div><div></div></div> |
| <div><div></div><div></div><div></div><div></div><div></div><div></div></div> | <div><div></div><div></div><div></div><div></div><div></div><div></div></div> | \$ <div><div></div><div></div><div></div><div></div><div></div><div></div></div> . <div><div></div><div></div></div> | \$ <div><div></div><div></div><div></div><div></div><div></div><div></div></div> . <div><div></div><div></div></div> |

4. ENDING HOLDINGS – State the total number of shares of Enochian common stock held as of the close of trading on September 23, 2022. If none, write "0" or "Zero." (Must submit documentation.)

| | | | | | | | |
|--|--|--|--|--|--|--|--|
| | | | | | | | |
|--|--|--|--|--|--|--|--|

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST
PHOTOCOPY THIS PAGE, ADD THE TRANSACTIONS, AND CHECK THIS BOX** ☐

**YOU MUST READ AND SIGN THE RELEASE IN PART IV BELOW. FAILURE TO SIGN THE RELEASE
MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.**

REMINDER CHECKLIST:

1. You must sign this Proof of Claim.
2. DO NOT HIGHLIGHT THE PROOF OF CLAIM OR YOUR SUPPORTING DOCUMENTATION.
3. Attach only copies of supporting documentation, not originals, as these documents will not be returned to you.
4. Keep a copy of your Proof of Claim for your records.
5. If you move after submitting this Proof of Claim, please promptly notify the Claims Administrator of the change in your address; otherwise, you may not receive additional notices or payment.